FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# OMB APPROVAL OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Hernandez Edgardo</u>	2. Date of Requiring : (Month/Da 05/02/20	Statement y/Year)	3. Issuer Name <b>and</b> Ticker or Trading Symbol ELI LILLY & Co [ LLY ]						
(Last) (First) (Middle) LILLY CORPORATE CENTER  (Street) INDIANAPOLIS IN 46285		21	4. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  X Officer (give Other (specify below)  SVP & Pres., Mfg. Operations			5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person			
(City) (State) (Zip)	_			Form filed by More than One Reporting Person					
1	able I - Nor	n-Derivati	ive Securities Benefic	cially Ov	wned				
1. Title of Security (Instr. 4)			2. Amount of Securities Beneficially Owned (Instr. 4)	Form: D	n: Direct or Indirect		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock			15,175		)				
Common Stock			838	I	I 401(		(k)		
(e.ç			Securities Beneficia						
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		rity Conver		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr.	
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivative Security		Direct (D) or Indirect (I) (Instr. 5)	5)	
Restricted Stock Unit	03/01/2023	03/01/2023	Common Stock	1,890	(1)		D		
Restricted Stock Unit	11/01/2024	11/01/2024	Common Stock	1,687	(1)		D		
Restricted Stock Unit	03/01/2025	03/01/2025	Common Stock	2,837	(1)		D		
Restricted Stock Unit	11/01/2026	11/01/2026	Common Stock	2,530	(1)		D		

#### **Explanation of Responses:**

1. Each restricted stock unit represents a contingent right to receive one share of Eli Lilly and Company common stock.

### Remarks:

<u>Crystal T. Williams for</u> <u>Edgardo Hernandez,</u> <u>authorization on file</u>

05/10/2021

\*\* Signature of Reporting

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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