SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL							
OMB Number:	3235-0287						
Estimated average bur	den						
hours not reenance.	0.5						

Estimated average burden hours per response:	0.5	
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1. Name and Address of Reporting Person [*] TAI JACKSON P				uer Name and Ticko LY ELI & CO	0	Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>IAI JAC</u>	<u>NSUN P</u>				- L J		X	Director	10% (Owner	
(Last) (First) (Middle) LILLY CORPORATE CENTER				e of Earliest Transa 9/2019	action (Month/	Day/Year)		Officer (give title below)	Other below	(specify /)	
			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
(Street)							Line)		- D		
INDIANA	POLIS IN	46285						Form filed by One	, ,		
,								Form filed by Mo Person	re than One Re	porting	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3) 2. Transact Date				2A. Deemed Execution Date,	3. Transaction	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	

	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Code (Instr.		Disposed Of (D) (Instr. 3, 4 and 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	08/19/2019		Α		18	A	\$112.53	54,481	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 7. Title and 9. Number of 6. Date Exercisable and 8. Price of Derivative 3. Transaction 3A. Deemed 5. Number 10. 11. Nature Derivative Security (Instr. 3) Expiration Date (Month/Day/Year) Transaction Code (Instr. Conversion Execution Date Amount of derivative Ownership of Indirect Date (Month/Day/Year) of Derivative Securities Security (Instr. 5) or Exercise if any Securities Form: Beneficial Direct (D) or Indirect (I) (Instr. 4) Price of Derivative (Month/Day/Year) 8) Securities Underlying Beneficially Owned Ownership (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Derivative Following Reported Security Security (Instr. 3 and 4) Transaction(s) (Instr. 4) Amount

> Date Exercisable

Explanation of Responses:

Remarks:

Bronwen L. Mantlo for

or Number

of Shares

Jackson P. Tai, authorization on 08/20/2019 file

ne

Title

Expiration

Date

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V

(A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.