SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL							
OMB Number:	3235-0287						
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Estimated average burden	

1. Name and <i>I</i>	Address of Reporting <u>KSON P</u>	Person*	2. Issuer Name and Ticker or Trading Symbol <u>LILLY ELI & CO</u> [LLY]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last) (First) (Middle) LILLY CORPORATE CENTER		· · · ·	3. Date of Earliest Transaction (Month/Day/Year) 02/19/2019	Officer (give title Other (specify below) below)				
(Street) INDIANAPOLIS IN 46285		46285	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	02/19/2019		A		16	A	\$122.13	54,263	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 8. Price of 9. Number of 6. Date Exercisable and 7. Title and 3. Transaction 3A. Deemed 5. Number 10. 11. Nature Derivative Security (Instr. 3) Expiration Date (Month/Day/Year) Transaction Code (Instr. Conversion Execution Date Amount of Derivative derivative Ownership of Indirect Date (Month/Day/Year) of Derivative if any (Month/Day/Year) Securities Security (Instr. 5) or Exercise Securities Form: Beneficial Direct (D) or Indirect (I) (Instr. 4) Price of Derivative 8) Securities Underlying Beneficially Owned Ownership (Instr. 4) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Derivative Following Reported Security Security (Instr. 3 and 4) Transaction(s) (Instr. 4) Amount or Number Expiration Date Exercisable of Shares ν Code (A) (D) Date Title

Explanation of Responses:

Remarks:

Crystal T. Williams for Jackson 02/20/2019

P. Tai, authorization on file

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.